

# Informal Joint Performance and Audit Scrutiny Committee



*St Edmundsbury*  
BOROUGH COUNCIL

|                            |   |             |
|----------------------------|---|-------------|
| <b>Title of Report:</b>    | <b>West Suffolk Strategic Risk Register Quarterly Monitoring Report – March 2018</b>  |             |
| <b>Report No:</b>          | <b>PAS/SE/18/014</b>  |             |
| <b>Report to and date:</b> | <b>Performance and Audit Scrutiny Committee</b>   | 31 May 2018 |
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| <b>Lead officer:</b>       | Rachael Mann / Gregory Stevenson<br>Assistant Director / Service Manager - Finance and Performance<br><b>Tel:</b> 01638 719747 / 01284 757264<br><b>Email:</b> <a href="mailto:rachael.mann@westsuffolk.gov.uk">rachael.mann@westsuffolk.gov.uk</a><br><a href="mailto:gregory.stevenson@westsuffolk.gov.uk">gregory.stevenson@westsuffolk.gov.uk</a> |             |
| <b>Purpose of report:</b>  | To review the West Suffolk Strategic Risk Register Quarterly Monitoring Report.   |             |
| <b>Recommendation:</b>     | <b>Performance and Audit Scrutiny Committee:</b><br><br><b>It is <u>RECOMMENDED</u> that Members scrutinise the updated West Suffolk Strategic Risk Register at Appendix 1 and refer any major issues requiring attention to Cabinet.</b>   |             |

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| <b>Key Decision:</b><br><i>(Check the appropriate box and delete all those that <b>do not</b> apply.)</i>          |   | <i>Is this a Key Decision and, if so, under which definition?</i><br>Yes, it is a Key Decision - <input type="checkbox"/><br>No, it is not a Key Decision - <input checked="" type="checkbox"/>  |                                       |
| <b>Consultation:</b>   |   | The West Suffolk Strategic Risk Register is updated regularly by the Risk Management Group and has been considered by the Leadership Team.   |                                       |
| <b>Alternative option(s):</b>  |   | • <b>Not Applicable</b>  |                                       |
| <b>Implications:</b>   |   |  |                                       |
| <i>Are there any <b>financial</b> implications? If yes, please give details</i>                                    |   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>• There are no direct financial or budget implications arising from this report. Specific risks associated with finance and resources are included in the West Suffolk Strategic Risk Register at Appendix 1. |                                       |
| <i>Are there any <b>staffing</b> implications? If yes, please give details</i>                                     |   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>•   |                                       |
| <i>Are there any <b>ICT</b> implications? If yes, please give details</i>  |   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>•   |                                       |
| <i>Are there any <b>legal and/or policy</b> implications? If yes, please give details</i>                          |   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>•   |                                       |
| <i>Are there any <b>equality</b> implications? If yes, please give details</i>                                     |   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>•   |                                       |
| <b>Risk/opportunity assessment:</b>  |   | <i>(potential hazards or opportunities affecting corporate, service or project objectives)</i>   |                                       |
| <b>Risk area</b>   | <b>Inherent level of risk</b> (before controls) | <b>Controls</b>  | <b>Residual risk</b> (after controls) |
| See individual assessments against each risk as detailed in Appendix 1.  |   |  |                                       |
| <b>Ward(s) affected:</b>   |   | All Wards  |                                       |
| <b>Background papers:</b><br><i>(all background papers are to be published on the website and a link included)</i> |   | None   |                                       |
| <b>Documents attached:</b>   |   | <b>Appendix 1</b> - West Suffolk Strategic Risk Register 2017/2018   |                                       |

## **1. Key issues and reasons for recommendation(s)**

### **1.1 Key Issues and Summary**

- 1.1.1 The West Suffolk Strategic Risk Register is updated regularly by the Risk Management Group. The Group is comprised of service representatives, including Health and Safety, supported by a Director and the Portfolio Holder for Resources and Performance. Assistant Directors and / or Service Managers may be required to provide further information as requested by the Group.
- 1.1.2 At its most recent assessment in March 2018, the Group reviewed the Target Risk, the risk level where the Council aims to be, and agreed a Current Risk assessment. These assessments form the revised West Suffolk Strategic Risk Register at **Appendix 1**.
- 1.1.3 Part of this assessment included the consideration of the controls and actions in place to address the individual risks. Where Target Risk levels are lower than the Current Risk assessment, further action is either being taken or planned in order to treat the risk and meet the target.
- 1.1.4 Some individual controls or actions have been updated and those that were not ongoing and had been completed by March 2018 have been removed from the register.

### **1.2 New or Amended Risks**

- 1.2.1 There have been no major amendments to current risks during this reporting period. Where necessary actions, controls and target dates have been updated.

### **1.3 Closed Risks**

- 1.3.1 No existing risks have been closed since the Strategic Risk Register was last reported to this committee.

### **1.4 Impact of Brexit**

- 1.4.1 The group will continue to monitor the situation as it develops, amending existing and / or adding new risks where necessary. These changes will be reported at each meeting in the normal manner.

### **1.5 Reasons for Recommendations**

- 1.5.1 The Council's Strategic Plan for 2018-2020, adopted by the Council in December 2017, includes three key priority areas supported by a range of actions to deliver specific outcomes.
- 1.5.2 The West Suffolk Strategic Risk Register identifies and records the level of risk associated with delivering the Council's plans alongside meeting its statutory responsibilities and the organisation's overall ability to respond to change. Through assessment of risk and the likelihood and impact of potential failure to meet these challenges, the level of controls and where possible, action required is identified and implemented.